

## 標準收費表

### Standard Charge Table

| 交易費用 (港股)<br>Transaction Charges<br>(Hong Kong Market) | 收費方法<br>Rate                                             | 最低收費<br>Minimum |
|--------------------------------------------------------|----------------------------------------------------------|-----------------|
| 印花稅<br>Stamp Duty                                      | 每宗交易總金額的 0.10% (不足一元收收一元)<br>0.1% on gross consideration | Min. HK\$1.00   |
| 交易徵費<br>Transaction Levy                               | 每宗交易總金額的 0.0027%<br>0.0027% on gross consideration       | ---             |
| 交易費*<br>Trading Fee                                    | 每宗交易總金額的 0.00565%<br>0.00565% on gross consideration     | ---             |
| 結算費<br>CCASS Fee                                       | 每宗交易成交額的 0.01%<br>0.01% on gross consideration           | Min. HK\$3.00   |
| 財務匯報局交易徵費:<br>FRC T. Levy                              | 每宗交易成交額的 0.00015%<br>0.00015% on gross consideration     | ---             |

| 交易費用 (中華通)<br>Transaction Charges (China Connect) | 收費方法<br>Rate                                                                                 | 最低收費<br>Minimum |
|---------------------------------------------------|----------------------------------------------------------------------------------------------|-----------------|
| 印花稅 (只收賣出方)<br>Stamp Duty (Seller only)           | 每宗交易總金額的 0.050% * (ETF : 免收)<br>0.050% on gross consideration * (ETF : Waived)               | ---             |
| 經手費<br>Handling Fee                               | 每宗交易總金額的 0.003410% * (ETF : 0.00400%)<br>0.003410% on gross consideration * (ETF : 0.00400%) | ---             |
| 證管費*<br>Sec. Management Fee                       | 每宗交易總金額的 0.0010% * (ETF : 免收)<br>0.0010% on gross consideration * (ETF : Waived)             | ---             |
| 過戶費<br>Transfer Fee                               | 每宗交易成交額的 0.0030% * (ETF : 0.0020%)<br>0.0030% on gross consideration * (ETF : 0.0020%)       | ---             |
| 組合費:<br>Portfolio fee/an                          | 0.0080% /每年(日計) 月收<br>0.0080% /an- Collect every month (daily basis)                         | ---             |

| 服務費用<br>Service Charges                                                                                                         | 收費方法<br>Rate                                                                      | 最低收費<br>Minimum |
|---------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|-----------------|
| 實物存入釐印費<br>Transfer Deed Stamp Duty                                                                                             | 每張股票收 5 港元<br>HK\$5.00 per transfer deed with physical stock certificate delivery | Min. HK\$5.00   |
| 實貨提取<br>Physical Stock Withdrawal Fee                                                                                           | 每手收 5 港元<br>HK\$5.00 per board lot                                                | Min. HK\$50.00  |
| 電子交收<br>SI /ISI                                                                                                                 | 提取<br>Withdrawal                                                                  | Min. HK\$70.00  |
|                                                                                                                                 | 存入<br>Deposit                                                                     |                 |
| 過戶費<br>Scrip Fee (Registration or Entitlement for All Corporate Action)                                                         | 每手收 1.5 港元<br>HK\$1.50 per board lot                                              | ---             |
| 託管及寄存證券<br>Safe Custody Fee (Only for client account has no any transaction, stock & cash amount below HK\$100 per semi-annual) | 每半年收 20 港元<br>HK\$20.00 per semi-annual                                           | Min. HK\$20.00  |
| 行使認股證及股權<br>Exercise of Warrants & Rights Issue                                                                                 | 每手收 2.00 元<br>HK\$2.00 per board lot                                              | Min. HK\$70.00  |
| 代收股息<br>Dividend Collection Fee                                                                                                 | 股息金額的 0.5%<br>0.5% on gross dividend amount                                       | Min. HK\$20.00  |
| 贖回未領取權益<br>Handling Fee for Unclaimed Entitlement                                                                               | 每宗申請 500 港元<br>HK\$500.00 per entitlement                                         | Min. HK\$500.00 |
| 退票費用<br>Return cheque charge                                                                                                    | 每張收 100 港元<br>HK\$100.00 per sheet                                                | ---             |

| 其他費用<br>Other Charges                                     | 收費方法<br>Rate                                                                                                                                                   | 最低收費<br>Minimum |
|-----------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------|
| 港股即時報價費用<br>Real Time Quote Fees                          | 每月收 450 港元<br>HK\$450.00 per month                                                                                                                             | 需預繳十二個月<br>按金   |
| 代追收 – 股息/紅股<br>Dividend Recovery / Bonus Share Collection | 每單手續費 500 港元 (無論追收成功與否，均須繳付)<br>另加過戶處收費(如有)<br>HK\$500.00 per order (payable irrespective of whether recovery is successful or not) plus transfer fee (if any) | ---             |
| 不動賬戶 (如超過一年沒有交易)<br>Inactive Account                      | 每年手續費收 120 港元<br>HK\$120.00 per year                                                                                                                           | ---             |
| 重印對帳單<br>Reprint Statement                                | 每一張副本收 10 港元<br>HK\$10.00 per copy                                                                                                                             | HK\$100.00      |

| 交易費用 (美股)<br>Transaction Charges (US Stock)                        | 收費方法<br>Rate                                                                                                                                                                                      | 最低收費<br>Minimum               |
|--------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------|
| 美國證監會收費 (僅對賣單收取)<br>SEC Fee (Applicable to Sell orders only)       | 每宗交易成交額的 0.0008% (最低 0.01 美元)<br>費率按美國證監會公佈而作出調整(不足一美分亦作一美分計算)<br>0.0008% on gross consideration (Minimum US\$0.01)<br>Fee rate subjected to US SEC policy changes (Round up to the nearest cent) | Min. US\$0.01                 |
| 平台使用費<br>Platform Fee                                              | 每單收 1 美元<br>US\$1 per order                                                                                                                                                                       | ---                           |
| 股票現金股息收費<br>Stock Cash Dividend Charges                            | 股息稅: 0%-30%<br>Dividend Tax: 0%-30%<br>**手續費: 3%<br>**Handling Charges: 3%                                                                                                                        | 最低: 10 美元<br>Min.: US\$10.00- |
| 公司行動收費 (現金股息除外)<br>Corporate Action Charges (Except Cash Dividend) | 手續費: 35 美元<br>Handling Charges: US\$35.00                                                                                                                                                         | ---                           |

\*\*手續費會以除稅前之金額計算 Charges apply on the amount before dividend tax

| 交易費用 (日股)<br>Transaction Charges (Japan Market)                    | 收費方法<br>Rate                                                                 | 最低收費<br>Minimum                             |
|--------------------------------------------------------------------|------------------------------------------------------------------------------|---------------------------------------------|
| 股票現金股息收費<br>Stock Cash Dividend Charges                            | 股息稅: 15.315%<br>Dividend Tax: 15.315%<br>**手續費: 3%<br>**Handling Charges: 3% | 最低: 2,000 日元<br>Min.: JP\$2,000.00          |
| 公司行動收費 (現金股息除外)<br>Corporate Action Charges (Except Cash Dividend) | 手續費: 2,500.00 日元<br>Handling Charges: JP\$2,500.00                           | ---                                         |
| 電子交收<br>SI                                                         | 轉出 Withdrawal                                                                | 每宗市值總金額的 0.50% 0.50% on gross consideration |
|                                                                    | 存入 Deposit                                                                   | 每宗金額的 10,000 日元 JP\$10,000.00 per Stock     |

| 交易費用 (債券)<br>Transaction Charges (Bonds) | 收費方法<br>Rate                                                                               | 最低收費<br>Minimum                   |
|------------------------------------------|--------------------------------------------------------------------------------------------|-----------------------------------|
| 代收股息<br>Dividend Collection Fee          | 股息金額的 1.00%<br>1.00% on gross dividend amount                                              | Min. HK\$150.00<br>Min. US\$20.00 |
| 託管費<br>Custody Fee                       | 年化債券市值的 0.10% · 按月收取及每月設最低收費<br>0.10% of market value per annum, charged on monthly basis. | Min. HK\$10.00<br>Min. US\$2.00   |
| 贖回債券費用<br>Bond Redemption Fee            | 每單收 150 美元<br>US\$150 per order                                                            | ---                               |

| 交易費用 (場外產品, 如基金、票據等)<br>Transaction Charges<br>(OTC Product, i.e. Funds & Notes) | 收費方法<br>Rate                                  | 最低收費<br>Minimum |
|----------------------------------------------------------------------------------|-----------------------------------------------|-----------------|
| 認購費用<br>Subscription Fee                                                         | 每宗交易總金額的 2%<br>2% on gross consideration      | ---             |
| 代收利息<br>Dividend Collection Fee                                                  | 利息金額的 0.50%<br>0.50% on gross dividend amount | Min: HK\$20.00  |

| 孖展服務<br>Margin Financing                           | 收費方法<br>Rate                             |                         |
|----------------------------------------------------|------------------------------------------|-------------------------|
| 現金戶口<br>Cash Account                               | 最優惠利率的基礎上加上 5% (P+5%)<br>Prime rate + 5% | Prime rate follows HSBC |
| 孖展戶口<br>Margin Account                             | 最優惠利率的基礎上加上 3% (P+3%)<br>Prime rate + 3% | Prime rate follows HSBC |
| 孖展戶口 (Margin Call)<br>Margin Account (Margin Call) | 最優惠利率的基礎上加上 6% (P+6%)<br>Prime rate + 6% | Prime rate follows HSBC |

| 銀行名稱 Bank Name       | 交通銀行 Bank of Communications (Hong Kong) Limited | 創興銀行 CHONG HING BANK                        |
|----------------------|-------------------------------------------------|---------------------------------------------|
| 銀行代碼 Bank Code       | 382                                             | 041                                         |
| 帳戶名稱 Acct Name       | DL SECURITIES (HK) LTD – CLIENT'S A/C           | DL SECURITIES (HK) LIMITED – CLIENT ACCOUNT |
| 類型 Type              | Multi-Currency                                  | HKD                                         |
| 帳戶號碼 Acct No.        | 849-1-010057-01                                 | 256-10-113876-4                             |
| 匯款代碼 Swift Code      | COMMCHKHK                                       | LCHBHKHH                                    |
| 銀行地址 Bank Address    | 20 Ped der Street, Central, Hong                | 24 Des Voeux Road Central, Hong Kong        |
| 轉數快編號 FPS Identifier | 16633 4789                                      |                                             |

附註：上表為標準收費表，部分費用之收費可能會視具體情況而有所調整。  
Note: The above table is standard charge table, some of the charges might be adjusted according to the specific situation